

*This Key Facts Summary highlights certain key terms of the VGP Client Agreement and associated policies (together the "Key Agreements"). Please read in conjunction with our policies listed on our website. The Key Agreements' terms prevail to the extent of any consistency with this Key Facts Summary.*

## **1. Vantage Global Prime LLP**

You are entering into an agreement with us, Vantage Global Prime LLP. We are authorised by the Financial Conduct Authority ("FCA") and our FCA number is 590299.

## **2. RISKS**

Trading leveraged products on margin is extremely risky. You may lose some, all or more than the amount you initially deposited with us and be required to fund your negative balance.

It is not suitable for all clients or potential clients.

Please ensure you read the Risk Disclosure Notice on our website.

## **3. YOUR OBLIGATIONS**

You have many obligations when opening a trading account with us and trading. You must understand the terms of the Client Agreement and have sufficient funds to meet margin calls at all times. If your account has a negative balance, you are required to fund it to return it to a zero or positive balance. You must monitor your account and open positions frequently and never trade in breach of the Client Agreement terms or any Applicable Law.

## **4. COSTS AND CHARGES**

We will provide you with our Costs & Charges Disclosure on our website.

Please note that our charges for trading may include trading commission, a mark-up of the spread and overnight financing charges. All of these costs reduce your account balance accordingly and may increase your loss or reduce profits on your trades.

In certain cases, we pay an introducer who has introduced you to our firm.

Our banks may charge you fees for deposits and withdrawals, depending on the currency and timing of the transaction. We, as a firm, do not charge for these actions.

## **5. VGP AS COUNTERPARTY**

When you place trade, you face VCP as your contractual counterparty. We are a match-principal broker. You also trade with us on a pure over the counter basis or OTC basis in all cases. There is no market, exchange or venue on which you are trading. VGP is not a market-maker and passes your trades in all cases to its underlying liquidity providers. We do not manipulate or skew prices. We do however have to actively monitor the markets in the products we offer and our liquidity providers and the instruments and pricing they offer to our clients.

## 6. BEST EXECUTION

We are committed to ensuring that our clients are treated fairly and receive the best possible price when executing a trade, whatever the product. Our Best Execution Policy applies to both retail and professional clients.

## 7. ONBOARDING AND KYC REQUIREMENTS

When you apply for an account or in order to maintain your account with us, we will ask you for identity, address, proof and source of deposit and knowledge and experience information, to comply with all applicable laws, including without limitation, those relating to financial crime prevention and for us to determine that margin trading is an appropriate investment activity for you. We also are required to report information relating to your trades to relevant competent authorities as required by UK and EEA regulation.

## 8. MARKET ABUSE, SUSPICIOUS TRANSACTION AND ORDER REPORTING

The firm is obliged to report any instances of market abuse, suspicious transaction and order reporting. You must not engage in any activity that distorts or manipulates prices or the normal working of the relevant market or is based on insider information.

## 9. CONSEQUENCES OF BREACH OF CONTRACT AND TERMINATION

**9.1** Either party terminate this Agreement on written notice to the other party, but without prejudice to any accrued rights hereunder.

**9.2** The firm has the ability to deal with any open trades in order to protect the interests of the firm and its counterparties at any time, upon termination of the Agreement or otherwise as a result of an Event of Default. Please see clauses 17 and 18 of the Client Agreement.

## 10. FORCE MAJEURE

If an event outside of our control occurs (such as a power, broadband or trading connection outage) affecting you, us or our liquidity providers (broadly a "Force Majeure Event"), and this in any way prevents us providing our investment or ancillary services to you, then our obligations under the Client Agreement will be deferred for the duration of the Force Majeure Event.

## 11. COMPLAINT PROCEDURE & FSCS

**11.1** If you are unsatisfied with any aspect of our service, please contact your account manager or if you wish to make a formal complaint, kindly email [compliance@vantageprime.co.uk](mailto:compliance@vantageprime.co.uk) with all relevant information to enable us to promptly assess the complaint and reply to you.

**11.2** Please refer to our Complaints Handling Policy on the website for how we deal with your complaint. You may be entitled to approach the Financial Ombudsman Service if you are unhappy with the outcome.

**11.3** The firm is covered by the Financial Services Compensation Scheme (FSCS) which may provide eligible investors up to a maximum of £50,000 per claimant in certain circumstances. For more information please refer to [www.fscs.org.uk](http://www.fscs.org.uk) or write to the Financial Services Compensation Scheme, 10th Floor, Beaufort House, 15 St Botolph Street, London EC3A 7QU.

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